



**TECHNOLOGY AND THE BUSINESS OFFICE
ACCOUNTING & AUDITING UPDATE
TALES FOR THE AUDIT TRAIL: ENTERPRISE RISK MANAGEMENT**

Live-Group Presentation

**10.5 CPE Credits – Management Advisory Services,
2 CPE Credits – Accounting
(12.5 Total CPE Credits)**

Fee: \$125 (\$140 if received after 2/10/2012)
February 23 & 24, 2012
Montgomery AL

Presented by
Kevin Robinson & Robert Gottesman
Russell Coleman

Hosted by
Faulkner University
and
Auburn University

Agenda

Thursday, February 23, 2012

Session Time	Session Topic
7:30 - 8:00	Registration/Continental Breakfast
8:00 - 10:00	General Overview of New & Emerging Risks for Higher Education
10:00 - 10:15	Break
10:15 - 12:00	Needed Internal Controls for Information Technology
12:00 - 1:00	Lunch
1:00 - 2:45	PCI-DSS Risks
2:45 - 3:00	Break
3:00 - 4:45	Social Media Risks

Friday, February 24, 2012

8:00 - 9:45	Accounting & Auditing Update
9:45 - 10:00	Break
10:00 - 11:45	Tales for the Audit Trail: Enterprise Risk Management

Objectives

Technology and the Business Office

General Overview of New & Emerging Risks for Higher Education

This session will take a broad look at the risks facing higher education in a wide range of categories including information technology but also some issues such as campus safety and compliance. The goal of this session is to provide attendees an overview of current risks and ideas of needed controls.

Needed Internal Controls for Information Technology

This session will focus exclusively on the internal control “must haves” for information to protect your institution. Real examples of control failures at institutions will be discussed during this overview session.

PCI-DSS Risks

Payment card industry data security standards risks will be covered in this overview session. Ensuring compliance is vitally important yet the standards are complex and the decentralized nature of higher education provides some unique challenges. This session will cover the basics to equip you to comply with this complex area.

Social Media Risks

One continually emerging risk is that of social media. This overview session will look at some general suggestions to avoid problems in the social media area. Real life examples Will be shared and suggested policies will be discussed.

These sessions will give the attendees information for current and emerging risks in higher education with a special emphasis on information technology and technology related risks and controls. Upon completion of these sessions, attendees will have an increased awareness about information technology risks in a non-technical manner so they can be proactive in managing these important risks at their institution.

Accounting & Auditing Update:

The standard setting environment is ever changing. In addition to changes that will take effect in the coming year, there are significant discussions about presentation and analysis. This presentation will provide an overview of the new accounting pronouncements affecting higher education. It will also review the landscape of those groups influencing the development of accounting and reporting principles.

Upon completion of the workshop, attendees will be:

- Prepared for the measurement and disclosure changes coming in the next reporting period.
- Aware of the deliberations of those groups influencing the standards setters and prepare for new reporting and auditing standards affecting your financial and/or compliance audit.

Tales for the Audit Trail: Enterprise Risk Management

This overview session will discuss the conceptual approach to enterprise risk management in organizations, practical methods for collecting information, methodology for describing risks, and practical aspects of institutionalizing risk management in organizations.

Upon completion of the workshop, attendees will:

- Identify conceptually what enterprise risk management is and is not.
- Have a working knowledge of a mechanical methodology to describe risk elements, and understand practical problems of maintaining effective risk management in their organizations
- Recognize practical problems of maintaining effective risk management at their organization.

No prerequisites or advanced preparation required.

Presenters

Kevin Robinson, CIA, CFE, CCEP, is the Executive Director of Internal Auditing at Auburn University and a Past President of the Association of College and University Auditors (ACUA). Kevin has been with Auburn University for over 22 years and has served as the internal audit director since 2001. He is a Certified Internal Auditor (CIA), a Certified Fraud Examiner (CFE), Certified Compliance and Ethics Professional (CCEP) and trained facilitator for Kouzes and Posner's "The Leadership Challenge." He holds BS and Master's degrees in Accounting and has taught various accounting courses at both AU's College of Business and at the community college level. Kevin is a frequent speaker on the topic of occupational fraud, fraud prevention, internal controls, leadership, and risk assessment/management. He has also published articles in ACUA's "College and University Auditor" and has been quoted in "The Business Officer" magazine, "USA Today", and other newspapers and articles.

Robert Gottesman has over 22 years of experience in Higher Education Administration at Auburn University. Currently serving as a certified information systems auditor (CISA) and digital forensics examiner (EnCE) he has previously worked as Director of Decision Support as well as the Coordinator of Institutional Data Analysis within Auburn's Institutional Research department. Robert is the co-editor, along with Kevin of the freely available higher education environmental scanning e-publication, "Case-in-Point, Lessons for the pro-active manager." Robert holds a master's degree in Public Administration.

Russell Coleman is a Certified Public Accountant with more than 30 years of experience. He concentrates on providing innovative and practical financial and advisory solutions for educational institutions, not-for-profit organizations and governmental units. Russell is the Assurance Leader for the Education Industry Group and serves as engagement partner or concurring reviewer for many not-for-profit engagements across the firm.

Russell joined Cherry, Bekaert & Holland in 1986 and served as Partner-in-Charge of the Knoxville office from 1990 to 2001. He serves as an instructor on accounting and auditing issues and implementation of risk-based auditing at the Firm's annual training program. Russell also helps develop the Firm's internal procedures and practice aids for compliance with professional standards.

Russell has authored numerous newsletter articles and is a frequent speaker at nonprofit seminars, including workshops and meetings sponsored by the Southern Association of College and Business Officers (SACUBO).

Russell received a Bachelor of Science in Accounting from the University of South Carolina. He is a member of the American Institute of Certified Public Accountants (AICPA), the North Carolina Association of Certified Public Accountants (NCACPA), and the South Carolina Association of Certified Public Accountants (SCACPA). Russell is actively involved with the National Association of College and University Business Officers (NACUBO) and Southern Association of College and University Business Officers (SACUBO).

Workshop Location

Faulkner University
5345 Atlanta Highway
Montgomery, AL 36109
334-376-7274

V.P. Black College of Biblical Studies
Harris-Parker Building
Rooms 112 & 117

Accommodations

Drury Inn, 1124 Eastern Boulevard, Montgomery, AL 36116, 334-273-1101
(ask for the Faulkner rate)

Registration

Please complete, print, and mail the accompanying registration form with check made payable to SACUBO Montgomery Workshop to the following address:

Auburn University
Attn: Marci Smith, Assistant VP for Business
Auburn University
126 Ingram Hall
Auburn, AL 36849-5102

Questions:
Registration/CPE: Sandra Bonney
bonnesa@auburn.edu
334-844-2151 - Phone
334-844-4701 - Fax

Logistics/Facilities: Loye Moorner
lmoorer@faulkner.edu
334-386-7274 - Phone
334-386-7511 - Fax

Enrollment is limited. Refunds will be made based on written request received prior to February 10, 2012. For more information regarding administrative policies, such as complaints, refunds or cancellations, please contact Sandra Bonney, 334-844-2151, bonnesa@auburn.edu

SACUBO (FEIN #76-0427292)

Registration Form

TECHNOLOGY AND THE BUSINESS OFFICE

ACCOUNTING & AUDITING UPDATE

TALES FOR THE AUDIT TRAIL: ENTERPRISE RISK MANAGEMENT

February 23 & 24, 2012 – Montgomery, Alabama

Name: _____

Title: _____

Organization: _____

Street/P.O. Box: _____

City: _____, State: _____ Zip: _____

Phone:(_____) _____

Fax:(_____) _____

E-mail: _____

Do you require CPE credit? Yes _____ No _____



The Southern Association of College and University Business Officers (SACUBO) is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE Sponsors. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be submitted to the National Registry of CPE Sponsors through its website: www.learningmarket.org.

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